

# T-duality : a basic introduction

Type IIA  $\iff$  Type IIB

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# Collaborators and reference

joint work with:

- Peter Bouwknegt, ANU;
- Jarah Evslin, Beijing;

## [BEM1]

P. Bouwknegt, J. Evslin and V. M.,

**T-duality: Topology Change from H-flux,**

*Communications in Mathematical Physics,*

**249** no. 2 (2004) 383-415. [[arXiv:hep-th/0306062](https://arxiv.org/abs/hep-th/0306062)]

## [BEM2]

P. Bouwknegt, J. Evslin and V. M.,

**On the Topology and Flux of T-Dual Manifolds,**

*Physical Review Letters*, **92**, 181601 (2004)

# Other related followup works

- D. Baraglia
  - U. Bunke, T. Schick
  - P. Bouwknegt, K. Hannabuss, V.M.
  - V.M., J. Rosenberg
  - V.M., Siye Wu
- etc.

# The idea of T-duality

The simplest example is a sigma model on a torus  $\mathbb{T}^n = \mathbb{R}^n/\Gamma$  of radius equal to  $r$ , where  $\Gamma$  is a lattice in  $\mathbb{R}^n$ .

The (topological) partition function is a **theta function**,

$$Z_{\Gamma}(r) = \sum_{z \in \hat{\Gamma}} e^{-2\pi^2 r |z|^2}$$

where  $\hat{\Gamma}$  is the dual lattice in the dual vector space  $\hat{\mathbb{R}}^n$ .

By the **Poisson summation formula**, this is equivalent to the partition function  $Z_{\hat{\Gamma}}$  on the dual torus  $\hat{\mathbb{T}}^n = \hat{\mathbb{R}}^n/\hat{\Gamma}$ , and

$$r \iff 1/r.$$

The situation however gets much more complicated when a background flux  $H$  is turned on.

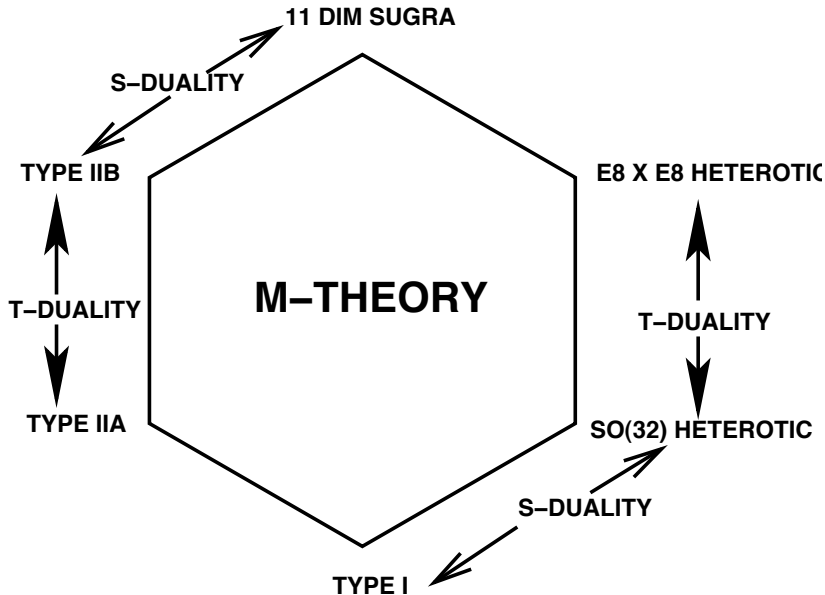
# String theory in a background flux

String theory does not currently have a complete definition. What we have instead are a set of partial definitions. A question naturally arises given this state of affairs.

- Is each partial definition consistent with the others, via string dualities?

We will be concerned with 2 of the 6 known manifestations of string theory.

# string theory and dualities



# Type II A string theory

Data for a partial definition for Type IIA string theory is:

Let  $E$  be spacetime:

- 1 A **background H-flux**  $H \in \Omega^3(E)$ ,  $dH = 0$  with integral periods;
- 2 A **Riemannian metric**  $g$  on  $E$  satisfying the **Einstein-Maxwell field equations**,

$$\text{Ric}_{ij} = \frac{1}{4} \sum_{p,q} H_{ipq} H_j{}^{pq};$$

- 3 A **Ramond-Ramond (RR) field**  $G \in \Omega^{\text{even}}(E)$ , satisfying the equations of motion,  $(d - H \wedge)G = 0$ ;
- 4 A **complex-valued dilaton + axion**.

# Type IIB string theory

Data for a partial definition for Type IIB string theory is:

Let  $E$  be spacetime:

- 1 A **background H-flux**  $H \in \Omega^3(E)$ ,  $dH = 0$  with integral periods;
- 2 A **Riemannian metric**  $g$  on  $E$  satisfying the **Einstein-Maxwell field equations**,

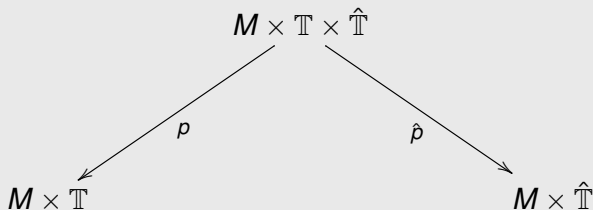
$$\text{Ric}_{ij} = \frac{1}{4} \sum_{p,q} H_{ipq} H_j{}^{pq};$$

- 3 A **Ramond-Ramond (RR) field**  $G \in \Omega^{odd}(E)$ , satisfying the equations of motion,  $(d - H \wedge)G = 0$ ;
- 4 A **complex-valued dilaton + axion**.



# T-duality in the literature

Spacetime is  $M \times \mathbb{T}$ , with trivial background flux - then the T-dual is topologically the same space  $M \times \hat{\mathbb{T}}$ , and T-duality is realized by using the correspondence



## Poincaré line bundle $\mathcal{P}$ :

There is a canonical line bundle defined on the 2D torus

$$\mathcal{P} \longrightarrow \mathbb{T} \times \widehat{\mathbb{T}},$$

called the Poincaré line bundle, defined as follows:

Consider the free action of  $\mathbb{Z}$  on  $\mathbb{R} \times \widehat{\mathbb{T}} \times \mathbb{C}$  given by,

$$\mathbb{Z} \times (\mathbb{R} \times \widehat{\mathbb{T}} \times \mathbb{C}) \rightarrow \mathbb{R} \times \widehat{\mathbb{T}} \times \mathbb{C}$$

$$(n, (r, \rho, z)) \rightarrow (r + n, \rho, \rho(n)z)$$

The **Poincaré line bundle** is defined as the quotient

$$\mathcal{P} = (\mathbb{R} \times \widehat{\mathbb{T}} \times \mathbb{C})/\mathbb{Z}.$$

It has a **connection**  $\Theta = \theta d\hat{\theta}$  whose **curvature** is  $\mathcal{F} = d\theta \wedge d\hat{\theta}$ .

# T-duality in the literature

T-dualizing on  $\mathbb{T}$ , the **Buscher rules** for the RR fields can be conveniently encoded in the **Hori formula** on  $M \times \mathbb{T} \times \hat{\mathbb{T}}$ ,

$$T_* G = \int_{\mathbb{T}} e^{\mathcal{F}} G, \quad (1)$$

Here  $\mathcal{F} = d\theta \wedge d\hat{\theta}$  is the curvature of the Poincaré line bundle  $\mathcal{P}$  on  $\mathbb{T} \times \hat{\mathbb{T}}$ , so that  $e^{\mathcal{F}} = ch(\mathcal{P})$  is the Chern character of  $\mathcal{P}$ .

$G \in \Omega^\bullet(M \times \mathbb{T})$  is the total RR fieldstrength,

$$G \in \Omega^{even}(M \times \mathbb{T}) \quad \text{for } \underline{\text{Type IIA}};$$

$$G \in \Omega^{odd}(M \times \mathbb{T}) \quad \text{for } \underline{\text{Type IIB}}.$$

# T-duality in the literature

Note that  $G$  is a closed form if and only if its T-dual  $T_*G$  is a closed form. The Buscher rules (??) are interpreted as

$$T_* : H^\bullet(M \times \mathbb{T}) \xrightarrow{\cong} H^{\bullet+1}(M \times \hat{\mathbb{T}}). \quad (2)$$

That is, T-duality (no background field) gives an equivalence

**Type IIA theory**  $\iff$  **Type IIB theory**

N.B. **No** change in topology!

**Remarks:** This equivalence can be refined to K-theory

# T-duality - The case of circle bundles

In [BEM], we isolated the geometry in the case when  $E$  is a principal  $\mathbb{T}$ -bundle over  $M$

$$\begin{array}{ccc} \mathbb{T} & \longrightarrow & E \\ & & \pi \downarrow \\ & & M \end{array} \quad (3)$$

classified by its first Chern class  $c_1(E) \in H^2(M, \mathbb{Z})$ , with  $H$ -flux  $H \in H^3(E, \mathbb{Z})$ .

The **T-dual** is another principal  $\mathbb{T}$ -bundle over  $M$ , denoted by  $\hat{E}$ ,

$$\begin{array}{ccc} \hat{\mathbb{T}} & \longrightarrow & \hat{E} \\ & & \hat{\pi} \downarrow \\ & & M \end{array} \quad (4)$$

which has first Chern class  $c_1(\hat{E}) = \pi_* H$ .

# T-duality in a background flux

The Gysin sequence for  $E$  enables us to define a T-dual  $H$ -flux  $\hat{H} \in H^3(\hat{E}, \mathbb{Z})$ , satisfying

$$c_1(E) = \hat{\pi}_* \hat{H}, \quad (5)$$

where  $\pi_*$  and similarly  $\hat{\pi}_*$ , denote the pushforward maps.

**N.B.**  $\hat{H}$  is not fixed by this data, since any integer degree 3 cohomology class on  $M$  that is pulled back to  $\hat{E}$  also satisfies (??). However,  $\hat{H}$  is determined uniquely (up to cohomology) upon imposing the condition  $[H] = [\hat{H}]$  on the correspondence space  $E \times_M \hat{E}$ . Explicit formulae will be given shortly.

T-duality for **circle bundles** is the exchange,

**background H-flux**  $\iff$  **Chern class**

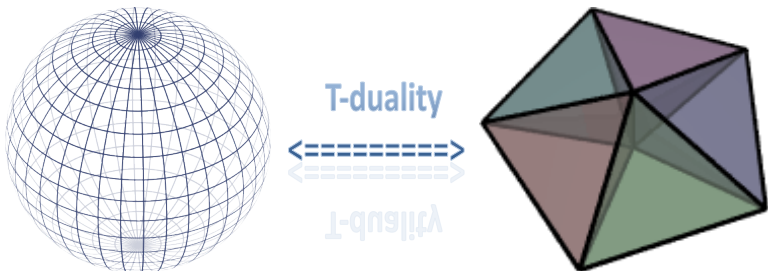
# T-duality in a background flux

The surprising **new** phenomenon is that there is a **change in topology** when the  $H$ -flux is non-trivial.

An example is  $S^5$  with trivial  $H$ -flux, is T-dual to  $\mathbb{C}P^2 \times \mathbb{T}$  with  $H$ -flux  $H = a \cup b$  where  $a = \text{vol} \in H^2(\mathbb{C}P^2, \mathbb{Z})$ ,  $b$  the generator of  $H^1(\mathbb{T}, \mathbb{Z})$ .

So  $(AdS^5 \times S^5, H = 0)$  and  $(AdS^5 \times \mathbb{C}P^2 \times \mathbb{T}, H = a \cup b)$  are T-dual spaces.

# T-duality in a background flux



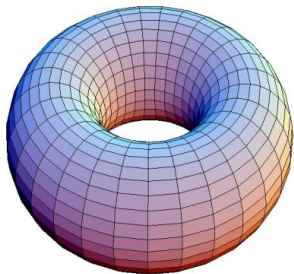
3-Sphere ;  $H = 5$



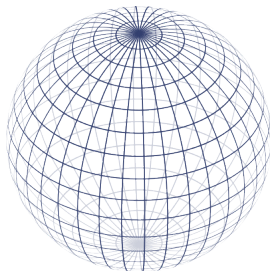
Lens Space  $L(5, 1)$ ;  $H = 1$



# T-duality in a background flux



T-duality  
↔  
T-duality



$$S^2 \times S^1 ; H = 1$$

↔

$$3\text{-Sphere} ; H = 0$$

# T-duality in a background flux

**Lens space**  $L(p, 1) = S^3/\mathbb{Z}_p$ , where

$S^3 = \{(z_1, z_2) \in \mathbb{C}^2 : |z_1|^2 + |z_2|^2 = 1\}$  &  $\mathbb{Z}_p$  action on  $S^3$  is

$$\exp(2\pi i/p) \cdot (z_1, z_2) = (z_1, \exp(2\pi i/p)z_2).$$

$L(p, 1)$  is the total space of the circle bundle over  $S^2$  with Chern class equal to  $p$  times the generator of  $H^2(S^2, \mathbb{Z}) \cong \mathbb{Z}$ .

Then  $L(p, 1)$  is never homeomorphic to  $L(q, 1)$  whenever  $p \neq q$ .

Nevertheless

$$(L(j, 1), H = k \cdot \text{vol}) \quad \text{and} \quad (L(k, 1), H = j \cdot \text{vol}).$$

are T-dual pairs! Thus **T-duality is the interchange**

$$j \longleftrightarrow k$$

Since  $L(0, 1) = S^2 \times \mathbb{T}$ , we see the T-dual pairs:

$$(S^2 \times \mathbb{T}, H = k) \quad \text{and} \quad (L(k, 1), H = 0)$$

# T-duality in a background flux

Let  $H_{\mathbb{Z}}(k)$  be the integer Heisenberg group,

$$H_{\mathbb{Z}}(k) = \left\{ \begin{pmatrix} 1 & x & \frac{1}{k}z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{Z} \right\},$$

which is a  $\mathbb{Z}$ -central extension of  $\mathbb{Z}^2$ ,

$$0 \rightarrow \mathbb{Z} \rightarrow H_{\mathbb{Z}}(k) \rightarrow \mathbb{Z}^2 \rightarrow 0.$$

Also let  $H_{\mathbb{R}}$  denote the Heisenberg group,

$$H_{\mathbb{R}} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\},$$

Clearly  $H_{\mathbb{Z}}(k)$  is a discrete subgroup of  $H_{\mathbb{R}}$ .

# T-duality in a background flux

The quotient space  $H_{\mathbb{R}}/H_{\mathbb{Z}}(k)$ , is a **Heisenberg nilmanifold**. It is a principal circle bundle over the torus  $\mathbb{T}^2$  with Chern class equal to  $k$ -times the volume form of the torus.

$$(H_{\mathbb{R}}/H_{\mathbb{Z}}(k), H = j.\text{vol}) \quad \text{and} \quad (H_{\mathbb{R}}/H_{\mathbb{Z}}(j), H = k.\text{vol})$$

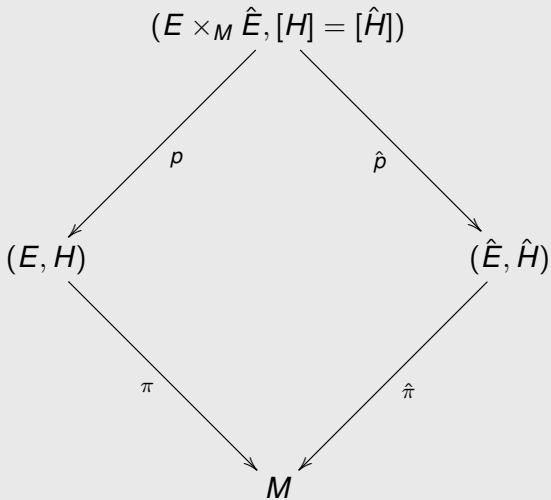
are T-dual pairs.

Thus **T-duality is again the interchange**

$$j \longleftrightarrow k$$

A similar analysis can be done for circle bundles over all **Riemann surfaces**. The total spaces of such circle bundles are known as **Seifert fibered spaces**.

# T-duality & correspondence spaces



# T-duality in a background flux - cohomology

The correspondence space is defined as

$$E \times_M \hat{E} = \{(x, \hat{x}) \in E \times \hat{E} : \pi(x) = \hat{\pi}(\hat{x})\}.$$

By requiring

$$[H] = [\hat{H}] \in H^3(E \times_M \hat{E}, \mathbb{Z}),$$

determines  $[\hat{H}] \in H^3(\hat{E}, \mathbb{Z})$  uniquely, via an application of the Gysin sequence.

A direct construction of  $\hat{H}$  will be given shortly.

# T-duality in a background flux - cohomology

Choosing connection 1-forms  $A$  and  $\hat{A}$ , on the  $\mathbb{T}$ -bundles  $E$  and  $\hat{E}$ , respectively, the rules for transforming the RR fields can be encoded in the **[BEM]** generalization of Hori's formula

$$T_* G = \int_{\mathbb{T}} e^{A \wedge \hat{A}} G, \quad (6)$$

where  $G \in \Omega^\bullet(E)^{\mathbb{T}}$  is the total RR fieldstrength,

$$\begin{aligned} G &\in \Omega^{\text{even}}(E)^{\mathbb{T}} && \text{for } \underline{\text{Type IIA}}; \\ G &\in \Omega^{\text{odd}}(E)^{\mathbb{T}} && \text{for } \underline{\text{Type IIB}}, \end{aligned}$$

and where the right hand side of (6) is an invariant differential form on  $E \times_M \hat{E}$ , and the integration is along the  $\mathbb{T}$ -fiber of  $E$ .

# T-duality in a background flux

Let  $F = dA$  and  $\hat{F} = d\hat{A}$  be the curvatures of the connections, and we can assume wlog that  $H$  is  $\mathbb{T}$ -invariant. Then on  $E$

$$H = A \wedge \hat{F} - \Omega, \quad (7)$$

for some  $\Omega \in \Omega^3(M)$ , while the T-dual  $\hat{H}$  on  $\hat{E}$  is given by

$$\hat{H} = F \wedge \hat{A} - \Omega. \quad (8)$$

We note that

$$d(A \wedge \hat{A}) = -H + \hat{H}, \quad (9)$$

$T_*$  indeed maps  $d_H$ -closed forms  $G$  to  $d_{\hat{H}}$ -closed forms  $T_*G$ .

Recall that the twisted cohomology is defined as

$$H^\bullet(E, H) = H^\bullet(\Omega^\bullet(E), d_H = d - H \wedge).$$

So T-duality  $T_*$  induces a map on twisted cohomologies,

$$T_* : H^\bullet(E, H) \rightarrow H^{\bullet-1}(\hat{E}, \hat{H}).$$



We define the Riemannian metrics on  $E$  and  $\hat{E}$  by

$$g_E = \pi^* g_M + A \odot A, \quad g_{\hat{E}} = \hat{\pi}^* g_M + \hat{A} \odot \hat{A}.$$

## Theorem

*Under the above choices of Riemannian metrics and flux forms,*

$$T: \Omega^{\bar{k}}(E)^{\mathbb{T}} \rightarrow \Omega^{\overline{k+1}}(\hat{E})^{\hat{\mathbb{T}}},$$

*for  $k = 0, 1$ , are isometries, inducing isometries on the spaces of twisted harmonic forms and hence on the twisted cohomology groups.*

# Proof of T-duality.

Proof.

For any  $\omega = \pi^*\omega_1 + \mathbf{A} \wedge \pi^*\omega_2 \in \Omega^\bullet(E)^\mathbb{T}$ , where  $\omega_1, \omega_2 \in \Omega^\bullet(M)$ , we have  $T(\omega) = \hat{\pi}^*\omega_2 + \hat{\mathbf{A}} \wedge \hat{\pi}^*\omega_1$ .

The isometry of  $T$  follows from

$$\begin{aligned}\int_E \omega \wedge *_E \omega &= \int_M \omega_1 \wedge *_M \omega_1 + \int_M \omega_2 \wedge *_M \omega_2 \\ &= \int_{\hat{E}} T(\omega) \wedge *_E T(\omega)\end{aligned}$$

Since  $d(p^*\mathbf{A} \wedge \hat{p}^*\hat{\mathbf{A}}) = -p^*H + \hat{p}^*\hat{H}$ , we have  $T \circ d_H = d_{\hat{H}} \circ T$ . So  $T$  acts on the spaces of twisted harmonic forms and on the twisted cohomology groups. □