

# Higher Dagger Categories

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categorified.net/NYUADtalk.pdf

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## Background

Definition (Selinger): A dagger category is a category  $\mathcal{C}$  together with an assignment  $(-)^+ : \text{hom}(X, Y) \rightarrow \text{hom}(Y, X)$   $\forall X, Y \in \mathcal{C}$  s.t.:  $f^+ = f$  and  $(fg)^+ = g^+f^+$ .

Ur-example:  $\text{Hilb}$ .  $(-)^+$  = adjoint bounded operator.

Other examples: \*  $\text{Hilb}_{\mathbb{R}}$ . Allow other signatures. Unitary rep thg.  
\*\* relations / spans / correspondences. \*\*\* etc.

Known "problem": Dagger structures are "evil" aka "incoherent": they do not transport across equivalences of categories.

Selinger's answer: Dagger categories are just different. There is a perfectly good  $(2, 1)$ -category of dagger categories, dagger functors, and unitary natural isos.

## Background

But to axiomatize "higher functional analysis" and unitary QFT, we need dagger  $(\infty, n)$ -categories. What should they be?

Last Spring, I became aware of multiple groups nearing an answer to this question. I was worried that there could soon be competing definitions. (I was personally agnostic.)

To head this off, I organized a small Zoom workshop in June. Participants presented motivating examples and partial definitions. After four exciting days, we emerged with a consensus definition.

A few participants chose not to be authors on the report, but everyone contributed.

## Dagger $(\infty, 1)$ -categories

Part of the definition of dagger category was the functor  $(-)^+ : \mathcal{C} \rightarrow \mathcal{C}^{\text{op}}$ , and the requirement it be (anti)involutive. This is not evil: a "work in progress edge"

Definition (ChatGPT): A **wipedge category** is a fixed point for the  $\mathbb{Z}/2$ -action  $\mathcal{C} \mapsto \mathcal{C}^{\text{op}}$  on  $\text{Cat}$ .

The evil part was requiring  $(-)^+|_{\text{ob}(\mathcal{C})} = \text{id}$ . Indeed, this is true only on the **set** of objects, not the **groupoid** of objects/iso's.

A dagger category has a different natural groupoid: objects/unitaries.

This groupoid is necessary data: it  $\cong$  what knows the difference between  $\{\text{Hilbert spaces}\}$  and  $\{\text{Hermitian spaces}\}$ .

Note:  $\mathcal{C} \mapsto \mathcal{C}^{\text{op}}$  trivializes on  $\text{Gpoid} \subseteq \text{Cat}$  by  $g \mapsto g^{-1}$ . So a wipedge category has  $(\text{objects/iso's})^{\mathbb{Z}/2}$ .  $\frac{\text{objects}}{\text{unitaries}}$  is typically smaller.

## Dagger $(\infty, 1)$ -categories

Definition (Henry, Stehouwer-Steinebrunner): A **coherent dagger**

**$(\infty, 1)$ -category** is a wipedge  $(\infty, 1)$ -category  $\mathcal{C}$ , equipped with  
a  $\infty$ -groupoid  $\mathcal{C}_0$  thought of as "objects/unitaries" and

$$\begin{array}{ccc} \mathcal{C}_0 & \xrightarrow{\text{ess. surj.}} & \mathcal{C}_1 \\ & \searrow & \uparrow \\ & \text{f. f.} & \text{ob}(\mathcal{C}_1)^{2/2} \end{array} \quad \text{s.t.} \quad \text{axioms in green.}$$

Theorem (Stehouwer - Steinebrunner): There is an equiv of  $(\infty, 1)$ -cats

$$\left\{ \begin{array}{l} \text{coherent dagger} \\ \text{1-categories} \end{array} \right\} \simeq \left\{ \begin{array}{l} \text{traditional dagger} \\ \text{1-categories} \end{array} \right\}$$

## Dagger $(\infty, n)$ -categories

Write  $\text{Cat}_{(\infty, n)}$  for the  $(\infty, 1)$ -category of  $(\infty, n)$ -categories.

E.g.  $\text{Cat}_{(\infty, 0)} = \text{Spaces}$ . A reason why coherent dagger categories are natural is that the  $\mathbb{Z}/_2$ -action  $\mathcal{C} \mapsto \mathcal{C}^{\text{op}}$  supplies an iso  $\text{Aut}(\text{Cat}_{(\infty, 1)}) \cong \mathbb{Z}/_2$ . More generally:

Theorem (Barwick - Schommer-Pries):  $\text{Aut}(\text{Cat}_{(\infty, n)}) \cong (\mathbb{Z}/_2)^n$ ,

with the  $k^{\text{th}}$   $\mathbb{Z}/_2$  acting by opposing the  $k$ -morphisms.

Definition: An  $(\infty, n)$ -category is **(fully) wipedge** if it is a (homotopy) fixed point for the  $\text{Aut}(\text{Cat}_{(\infty, n)})$ -action.

Remember: to be a fixed point is structure, not property!

A fixed point for  $G \subseteq (\mathbb{Z}/_2)^n$  is a  **$G$ -wipedge  $(\infty, 1)$ -category**.

## Dagger $(\infty, n)$ -categories

As with the  $n=1$  case, to enhance a wipedge structure to a dagger structure involves trivializing parts of it.

For  $m \leq n$ , let  $\mathcal{Z}_m : \text{Cat}_{(\infty, n)} \rightarrow \text{Cat}_{(\infty, m)}$  denote the maximal sub- $m$ -category (right adjoint to inclusion  $\text{Cat}_{(\infty, m)} \subseteq \text{Cat}_{(\infty, n)}$ ).

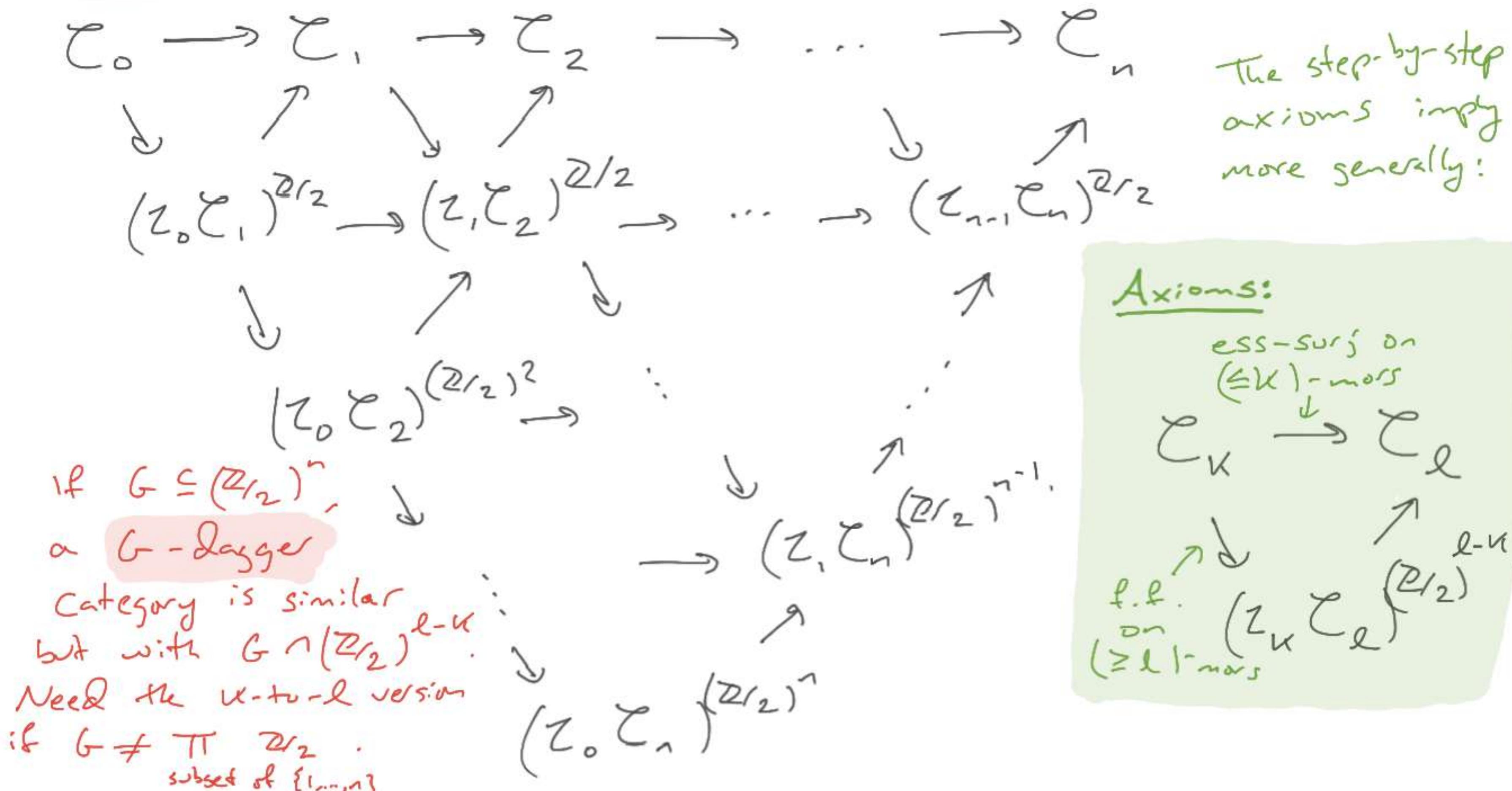
Observe: If  $\mathcal{C} \in \text{Cat}_{(\infty, n)}$  is wipedge, then  $\mathcal{Z}_m \mathcal{C}$  is wipedge, and has an action by  $(\mathbb{Z}/2)^{\binom{n}{m}}$ .

Definition: A (fully) dagger  $(\infty, n)$ -category  $\mathcal{C}$  is a sequence  $\mathcal{C}_0, \mathcal{C}_1, \dots, \mathcal{C}_n$  with  $\mathcal{C}_m$  a wipedge  $(\infty, m)$ -cat and wipedge maps  $\mathcal{C}_m \rightarrow (\mathcal{Z}_m \mathcal{C}_{m+1})^{\mathbb{Z}/2}$  s.t.:

(1)  $\mathcal{C}_m \rightarrow \mathcal{Z}_m \mathcal{C}_{m+1}$  is ess. surj on  $(\leq_m)$ -morphisms.

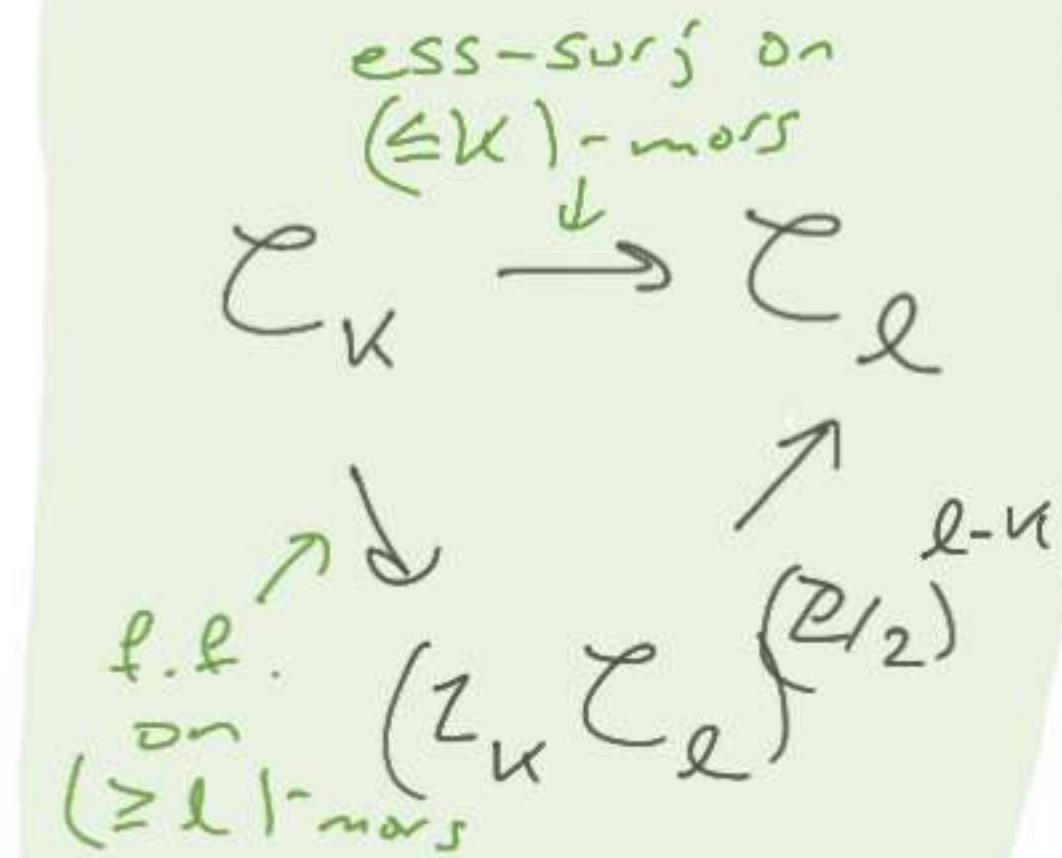
(2)  $\mathcal{C}_m \rightarrow (\mathcal{Z}_m \mathcal{C}_{m+1})^{\mathbb{Z}/2}$  is fully faithful on  $(\geq_{m+1})$ -morphisms.

## Dagger $(\infty, n)$ -categories



The step-by-step axioms imply more generally:

### Axioms:



## Unitary adjoints

An  $(\infty, n)$ -category has adjoints if every  $k$ -morphism,  $0 < k < n$ , has both adjoints. Write  $\text{AdjCat}_{(\infty, n)} \subseteq \text{Cat}_{(\infty, n)}$  the  $(\infty, 1)$ -cat of  $(\infty, n)$ -categories with adjoints, all functors, and natural isos.

Expectation (cobordism hypothesis with singularities):

Any  $\mathcal{C} \in \text{AdjCat}_{(\infty, n)}$  determines a graphical calculus of framed embedded hypersurfaces in  $\mathbb{R}^n$ .

Smoothing theory says that framed smooth = framed PL.

$\text{PL}(n) := \{\text{piecewise-linear automorphisms of } \mathbb{R}^n\}$  acts on the space of diagrams, and hence we expect a map

$$\text{PL}(n) \longrightarrow \text{Aut}(\text{AdjCat}_{(\infty, n)}).$$



## Unitary adjoints

For comparison, smoothing theory does supply a map

$$\mathrm{PL}(n) \rightarrow \mathrm{Aut}(\mathrm{Bord}_n^{\mathrm{fr}})$$

Theorem (Lurie, unpublished): Assuming the Cobordism Hypothesis, the map  $\mathrm{PL}(n) \rightarrow \mathrm{Aut}(\mathrm{Bord}_n^{\mathrm{fr}})$  is an iso when  $n \neq 4$ . When  $n=4$ , it is equiv to the 4D PL Schoenflies conjecture.

Conjecture: There is an iso

$$\mathrm{PL}(n) \xrightarrow{\sim} \mathrm{Aut}(\mathrm{Adj}(\mathrm{Cat}_{(\infty, n)}))$$

Definition assuming conjecture: A wipedge  $(\infty, n)$ -category with unitary adjoints is a fixed point for  $\mathrm{PL}(n) \subset \mathrm{Adj}(\mathrm{Cat}_{(\infty, n)})$ .

## Unitary adjoints

Restrict along  $\text{PL}(n) \supset \text{PL}(k) \times \text{PL}(n-k)$ . If  $\mathcal{C} \in \text{Adj}(\text{at}_{(\infty, n)})$  is wipedge,  
the  $\tau_k \mathcal{C}$  is wipedge with a compatible  $\text{PL}(n-k)$ -action.

Definition: A dagger  $(\infty, 1)$ -category with unitary adjoints  
is a diagram of equivariant wipedge objects with

$$\begin{array}{ccc} \mathcal{C}_K & \xrightarrow[\leq K - \text{mors}]{{\text{ess surj or}}} & \mathcal{C}_l \\ & \searrow^{\text{ff. on } \geq l - \text{mors}} & \nearrow \\ & (\tau_K \mathcal{C}_l)^{\text{PL}(l-K)} & \end{array}$$

For  $G \subset \text{PL}(n)$ , can also talk about  $G$ -wipedge and  $G$ -dagger str.

## Examples

$PL(2) = O(2) = SO(2) \times \mathbb{Z}/2 = B\mathbb{Z} \times \mathbb{Z}/2$ . Actions on bicats w/ adj's:

- $\mathbb{Z}/2$  acts by  $\mathcal{C} \mapsto \mathcal{C}^{2\text{op}}$
- $B\mathbb{Z}$  acts by  $(-)^{\vee\vee}$  (double right adjoint.)

For  $(\mathcal{C}, \mathcal{E})$ ,  
there are 3  
higher  
coherence  
data.

A dagger bicategory with unitary adjoints, in our sense,

is equiv to a (bi)category enriched in dagger categories,  
plus a functorial choice of  $f^\vee$ ,  $ev_f$ ,  $coev_f$  for each morphism  $f$   
such that  $ev_f$ ,  $coev_f$  are unitary.

Unitarity  $\Rightarrow$  pivotality = trivialization of  $(-)^{\vee\vee}$  that is id on obj's.

Indeed, an  $SO(2)$ -dagger bicategory is a pivotal bicategory.

## Examples

A **tangential structure** on PL  $n$ -manifolds is a reduction of structure of  $T_m : M \rightarrow \text{BPL}(n)$  through some  $\text{BH}(n) \rightarrow \text{BPL}(n)$ .

E.g.: Smoothing theory is the statement that smoothness is a PL tangential structure. ↙ This is extra data!

A tangential structure is **stable** if  $\text{BH}(n) = \frac{\text{BPL}(n) \times \text{BH}}{\text{BPL}}$ .

E.g.: Smoothness is not stable, but

$\text{Bord}_n^{\text{smooth}} \rightarrow \text{Bord}_n^{\text{stably smooth}}$

is an eqn after quotienting to  $(\infty, n)$ -categories.

Theorem: If  $H$  is a **stable tangential structure**, then

$\text{Bord}_n^H$  is a dagger  $(\infty, n)$ -category with unitary adjoints.

## Examples

Chen, Ferrer, Hungar, Penneys, and Sanford have a soon-to-be-released theory of f.d.  $n$ -Hilbert spaces.

rigorous for  
 $n \leq 3$ . sketch  
for  $n \geq 4$ .

Theorem (CFHPS):  $\text{Hilb}_n^{\text{f.d.}}$  is dagger with unitary adjoints.

Their same construction also gives a super version.

Definition: For  $H$  a stable tangential structure, a unitary  
fully-extended  $H$ -structured bosonic and fermionic  $n$ D TQFT is  
a functor

$$\text{Bord}_n^H \longrightarrow \begin{cases} \text{Hilb}_n^{\text{f.d.}} \\ \text{sHilb}_n^{\text{f.d.}} \end{cases}$$

of symmetric monoidal dagger  $(\infty, 1)$ -cats w/ unitary adjoints.